

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application:  
VANDENBROEK, et al.  
Serial No.: 10/815,149  
Filed: March 30, 2004  
For: CONVERTIBLE SURGICAL CLIP  
APPLIER SYSTEM

} Customer No. 21378  
} Docket No.: A-2810-AL

**CERTIFICATE OF FACSIMILE TRANSMISSION**  
I hereby certify that this correspondence is being  
facsimile transmitted to the U.S. Patent and  
Trademark Office (Fax No. (703) 872-9306 on  
July 28, 2004

Barbara Johnson  
(Type or print name)

Barbara Johnson  
(Signature)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dear Sirs:

Attached please find the following documents submitted for filing in reference to  
the above-referenced application.

1. Information Disclosure Statement; and
2. Form PTO/SB/08a

Respectfully submitted,

*Barbara Johnson*  
Barbara Johnson  
Applied Medical Resources

**CUSTOMER NO.: 21378**

Telephone (949) 713-8000  
Facsimile (949) 713-8206

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: )  
VANDENBROEK, et al. ) Customer No. 21378  
)  
Serial No.: 10/815,149 ) Docket No.: A-2810-AL  
)  
Filed: March 30, 2004 )  
)  
For: CONVERTIBLE SURGICAL CLIP )  
APPLIER SYSTEM )

---

Customer Window, Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

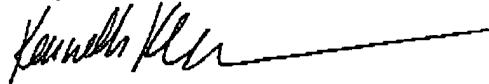
Dear Sir:

Enclosed please find an Information Disclosure Citation which lists information that may be relevant to the prosecution of the above-captioned application. It is requested that this information be considered by the Office. However, it is understood that the mere filing of the Statement does not constitute an admission that the information submitted is material to patentability.

This Statement is being submitted under the terms of 37 C.F.R. § 1.97(b), and is being filed before the mailing of a first Office Action on the merits. No fee should be required.

Nevertheless, the Commissioner is hereby authorized to charge any fee deficiency, or credit any over-payment, to our Deposit Account No. 01-2215.

Respectfully submitted,



Kenneth K. Vu  
Attorney for Applicant

CUSTOMER NO.: 21378  
Telephone (949) 713-8000  
Facsimile (949) 713-8206

PTO/SB/082 (08-03)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
A collection of information unless it contains a USA442 OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many spaces as necessary)*

३

1

1

**Complete if Known**

<b>Application Number</b>	10/815,149
<b>Filing Date</b>	March 30, 2004
<b>First Named Inventor</b>	Vandenbroek
<b>Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	A-2810-AI

Sheet 1 1

१८

1

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (If Known)			

**Examiner  
Signature**

Date  
Considered

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.